



FY 22-23 Facility Assessment Updates

Laguna Honda Hospital and Rehabilitation Center

April 11, 2023

Presented to **JCC**

Presented by: Elizabeth Schindler, MPH
Director of Performance Improvement
Chief Quality Officer | Quality Management

LHH Facility Assessment

Skilled Nursing Homes are required to conduct an annual **Facility Assessment** report to determine the necessary resources required to care for residents competently during normal day-to-day operations and emergencies.

Assessment areas include:

- Resident population served and care needs based on physical and cognitive disabilities
- Staffing and competencies on services provided
- Risk analyses for systems and resources in North and South residence towers and hospital buildings spanning more than 500,000 square foot



Facility Assessment Updates and Revisions

- **Substance Use Disorders**
- **Allegations of Abuse**
- **Education and Training**
- **Infection Control**
- **CMS Phase 3 Requirements**
- **QAPI Program**

Substance Use Disorder (SUD)

Special Treatments	No. of Unique Residents 04/01/21 - 06/30/21	No. of Unique Residents 04/01/22-06/30/22	Percent Change
Substance Use Disorders	100	94	-6%

- **SUD treatment provided by Psychiatry Services**
 - Non-specialty level outreach (embedded within clinical encounters) and specialty substance treatment services (individual and group counseling).
 - Nursing and Resident Care Team (RCT) provide support and work in collaboration with the Behavioral Health Services team.
- **CMS Phase 3 Requirements**
 - LHH Behavior Health Services team developed a Substance Use Disorder training and the team to provide staff with knowledge of signs and symptoms of the disorder.
 - SUD related policies have been updated with Phase 3 regulations.

Allegations of Abuse

- **Abuse and Prevention Services monitored through QAPI**
 - The facility QAPI program will monitor the number of incidents reported, the type of incidents reported, and the timeliness of reporting.
 - Department managers/supervisors are expected to monitor staff performance through performance evaluations and competency checks where applicable.
- **CMS Phase 3 Requirements**
 - LHH updated its Abuse and Neglect Prevention policies to reflect the new requirements and re-educated staff on reporting requirements and timelines to align with the new CMS Phase 3 requirements.

Education and Training

- **Staff Trainings/Education and Competencies**
 - The LHH Department of Education and Training provides orientation, training, and support to all new and existing employees and contractors through in-person classes, in-services, trainings, and online training modules via SF Learning ELM.
 - The training topics include new trainings, required mandatory trainings based on the Code of Regulations, Title 22, CDPH In-service and Training Program requirements, Plan of Corrections, P&Ps, FRIs, the COVID-19 pandemic, and identified trainings based off of the staff learning needs assessment.
- **CMS Phase 3 Requirements**
 - LHH Education and Trainings specific to CMS Phase 3 requirements have been updated which includes a new Training for Contractors component.

Infection Control

- **Infection Control Program under the direction of Quality Management**
 - LHH has established a facility wide Infection Control program, implemented through the Infection Control Function of the overall Quality Management program.
 - The Infection Control program complies with regulations and recommendations from the CMS, the CDC, AHA, APIC, and mandates of OSHA, CDPH, EPA, and SFDPH.
 - LHH infection control continues COVID-19 pandemic operations and response as the pandemic transitions into its third & fourth year.
- **CMS Phase 3 Requirements**
 - LHH is in compliance with the new CMS Phase 3 requirements for Infection Control which is centered around a water management plan and resident level review for antibiotic appropriateness especially around urinary tract infections.
 - Infection Control related policies have been updated with Phase 3 regulations.

CMS Phase 3 Requirements

- **Preparing for CMS Phase 3 Requirements**
 - CMS has released new and updated Phase 3 requirements to which LHH is expected to be in compliance with as of October 24, 2022.
 - After careful review of LHH policies, the areas impacted are as follows:
 - Visitation
 - Freedom from Abuse
 - Neglect and Exploitation
 - Restraints
 - Transfer and Discharge
 - Assessments, Trauma Informed Care
 - Staffing and Behavioral Health Services.
 - Policies impacted by the new CMS Phase 3 regulations were updated.

QAPI Program

- **Infection Control Program under the direction of Quality Management**
 - The goal of the QAPI program is to provide a systematic, comprehensive, and data-driven approach to maintain and improve safety and quality in care.
 - Performance Improvement and Patient Safety (PIPS) Committee is a vital element of the QAPI program where the goal is to examine and improve care or services in areas that the PIPS committee identifies needing attention.
 - The QAPI program will roll out unit-based meetings focusing on high-risk, high-volume, and problem prone areas for the facility. For FY22-23, this will be:
 1. Wound and Nutrition
 2. Infection Prevention and Control and Antibiotic Stewardship
 3. Psychotropic Medication and Behavior Monitoring
 4. Falls and Restraints
- **CMS Phase 3 Requirements**
 - QAPI related policies have been updated with Phase 3 regulations.



Questions/Comments